

DECLARATION FOR PATENT APPLICATION

As a named inventor, I hereby declare that:

My residence, post office address and citizenship is as stated below next to my name;

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: COXSACKIEVIRUS B4 EXPRESSION VECTORS AND USES THEREOF, the specification of which

☒ is attached hereto.
☐ was filed on _____ as Application Serial Number _____
and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

Prior Foreign Application(s)

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Country	Application Number	Date of Filing (day, month, year)	Date of Issue (day, month, year)	Priority Claimed Under 35 U.S.C. 119
				Yes <input type="checkbox"/> No <input type="checkbox"/>
				Yes <input type="checkbox"/> No <input type="checkbox"/>

Prior United States Provisional Application(s)

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

Application Number	Filing Date

Prior United States Application(s)

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

Application Serial Number	Date of Filing (day, month, year)	Status - Patented, Pending, Abandoned

As a named inventor, I hereby appoint the following registered practitioner to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith:

Ronald A. D'Alessandro, Registration No. 42,456; Michael F. Hoffman, Registration No. 40,019; Spencer K. Warnick, Registration No. 40,398

All correspondence and telephone communications should be addressed to Ronald A. D'Alessandro, Hoffman, Warnick & D'Alessandro, LLC, Three E-Comm Square, Albany, NY 12207, telephone number (518) 449-0044, which is also the address and telephone number of each of the above listed attorneys.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

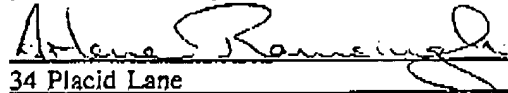
Inventor's

Full Name:

Arlene I. Ramsingh

Inventor's

Signature:



Date: 07/02/01

Residence:

34 Placid Lane

Glenmont, NY 12077

Citizenship:

US

Post Office Address:

same

Inventor's

Full Name:

Sadia S. Halim

Inventor's

Signature:

Sadia Halim

Date: 07/30/01

Residence:

25 Grand Street

#-212

Norwalk, CT 06851

Citizenship:

US

Post Office Address:

same

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RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

PATENTS ONLY

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To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Sadia S. Hallim

2. Name and address of receiving party(ies):

Name: Arlene I. RamsinghAddress: 34 Placid Lane

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other _____City: GlenmontState/Prov.: NYCountry: USZIP: 12077Execution Date: July 31, 2001

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

Patent Application No.

Filing date

B. Patent No.(s)

09/879,572

6/12/2001

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Ronald A. D'AlessandroRegistration No. 42,456Address: Hoffman, Warnick & D'Alessandro LLCThree E-Comm SquareCity: AlbanyState/Prov.: NYCountry: USZIP: 12207

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$ 40.00☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

500999

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ronald A. D'Alessandro

Name of Person Signing

Signature

October 30, 2001

Date

3

Total number of pages including cover sheet, attachments, and

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

**Assignment of Rights in Invention
(Sole inventor; single assignee)**

Docket No.
RAMS-0001

Inventor	Residence of Inventor
Sadia S. Halim	25 Grand Street, Apt. 212 Norwalk, CT 06851
Assignee	Residence or Principal Place of Business of Assignee
Arlene I. Ramsingh	34 Placid Lane Glenmont, NY 12077

Whereas, I, the above-identified Inventor, have invented certain new and useful improvements in:

COXSACKIEVIRUS B4 EXPRESSION VECTORS AND USES THEREOF

(hereinafter referred to as "Invention") for which I am making application for Letters Patent in the United States of America;

And, whereas I desire to assign a 100% undivided interest in said Invention, said application disclosing the Invention and any Letters Patent which may be granted therefor to the above-identified Assignee, and whereas said Assignee is desirous of acquiring the entire right, title and interest in the same;

*Now, this indenture witnesseth, that for the sum of **ONE** dollars (\$**1.00**), and other good and valuable consideration, the receipt whereof is hereby acknowledged;*

I hereby assign, sell and transfer a 100% undivided interest in said Invention, said application, including any divisions, continuations, and continuations-in-part thereof, and in and to any and all Letters Patent of the United States, and countries foreign thereto, which may be granted for said Invention, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to me with respect to the filing of applications for patents or securing of patents in the United States and countries foreign thereto, unto said Assignee;

And I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Letters Patent to said Assignee, as assignee of the whole right, title and interest thereto;

And I further agree to execute all necessary and lawful future documents, including assignments in favor of Assignee, or its designees as Assignee or its Assignees may from time-to-time present to me in order to perfect title in said Invention, modifications, and improvements in said Invention, applications and Letters Patent of the United States and countries foreign thereto;

Assignment of Rights in Invention
(Sole inventor; single assignee)

Docket No.
RAMS-0001

Inventor

Residence of Inventor

Sadia S. Halim

25 Grand Street, Apt. 212
Norwalk, CT 06851

Assignee

Residence or Principal Place of Business of Assignee

Arlene I. Ramsingh

34 Placid Lane
Glenmont, NY 12077

And I further agree to sign and properly execute such necessary and lawful papers for application for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent which may be granted for my aforesaid Invention, as the Assignee thereof shall hereafter require and prepare at its own expense.

Executed this 31st day of July, in the year 2001

at

Sadia Halim
(Signature of Inventor)

State of New York

County of New York

Before me personally appeared **Sadia S. Halim**
who acknowledged the foregoing instrument to be a free act and deed and also represented that he or she is authorized to
execute the same this 31st day of July, in the year 2001

Howard Horowitz
Notary Public, State of New York
No. 02HO5034827
Qualified in New York County
Commission Expires Oct. 17, 2002

[Signature]
(Notary Public)

HOFFMAN, WARNICK & D'ALESSANDRO LLC
OPERATING ACCOUNT
THREE E-COMM SQUARE
ALBANY, NY 12207
(518) 449-0044

REMITTANCE ADVICE

29-7/213

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HOFFMAN, WARNICK & D'ALESSANDRO LLC
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